



## Questionnaire for private person

KAURIFINANCE OÜ (reg. 14559136)

Narva mnt 5, Tallinn, 10117

**Why is so much data requested?** Most of us are law-abiding citizens, and, indeed, it may seem that KAURIFINANCE is requesting too much information about customers. Unfortunately, we must take into account that we live in a complex international situation when we have to fight corruption, money laundering and terrorism.

In connection with this, various legal acts (for example, the Law on the Suppression of Money Laundering and the Financing of Terrorism, the Tax Information Exchange Act) have entrusted KAURIFINANCE with a number of additional obligations, including the collection of data on its customers. In this regard, we from time to time ask you to update your data.

By updating the data, you contribute to the fight against terrorism and money laundering. Transparent customer relationships significantly limit the ability to launder money. If the client data is correct and the risks associated with customers are well appreciated, then KAURIFINANCE is much easier to notice suspicious transactions.

### 1. Personal Information

First and Last name:	
Date and Place of birth:	
Personal code (if any):	Place of residence:
Contact address:	
Identity document and its number:	
The issuing State, date of issue and expiration date:	
Which tax country / countries do you belong to?	Tax Identification Number (TIN):
Contact phone:	E-mail:
<b>To identify the identity of an individual</b> , the following valid documents can be used: an identity card issued by Estonian state authorities (identity card); the identity card of a citizen of the European Union; The Estonian passport; the passport of the foreign citizen; diplomatic passport; seaman's service book; the passport of the foreigner; marine certificate; return certificate; certificate of return; driver's license issued in the Republic of Estonia; driver's license issued abroad if the document contains the user's name, photo or image of the person, signature, date of birth or personal identification code; a travel document issued abroad.	

### 2. Sphere of activity

Employee	<input type="checkbox"/>	Student	<input type="checkbox"/>	Unemployed	<input type="checkbox"/>
Housewife	<input type="checkbox"/>	Pensioner	<input type="checkbox"/>	Worker of state institutions	<input type="checkbox"/>
Other					

### 3. Place of work or educational institution

Name:	Contact address:
Contact phone:	Position:
Field of activity:	

**4. Representative data (if the client represents a third party)**

First and last name:	
Personal code or date of birth:	
Basis of power of attorney:	
Field of the power of attorney:	Term of the power of attorney:

**5. Is the client or representative of the client a public official, a family member or a close associate**

<p><b>A public official</b> is an individual who performs or performs important tasks of public authority, as well as family members and immediate employees of such a person. The executors of important tasks of public authority are: 1) the head of state, the head of government, ministers, deputy and assistant ministers; 2) Members of Parliament; 3) judges of the Supreme Court, Constitutional Court and other higher court, judge; 4) members of the boards of state control institutions and the central bank; 5) ambassadors, attorneys in affairs and higher officers of the Defense Forces; 6) members of the management and supervision bodies and administrative bodies of state commercial partnerships. <i>A public official does not include a person who, at the date of the transaction, has not performed important public authority tasks for at least a year, as well as family members and immediate staff of such person</i></p>
<p>I have a connection with a representative of public authority:</p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>
<p>Name and surname of the official, position, contact with the client:</p> <p>1.</p> <p>2.</p>

**6. Provision of data**

<p>Are you ready in the event that the funds provided for exchange were received by you from third parties or organizations (as targeted investments, contributions to the authorized capital, income from the sale of goods, other income) to provide us with the identification of all individuals and organizations according to the procedures presented by us?</p> <p>Yes <input type="checkbox"/></p> <p>No <input type="checkbox"/></p>
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**7. Additional information and notes**

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**By signing this questionnaire:**

- I confirm that the information provided in the questionnaire is current, complete, accurate;
- I undertake to notify KAURIFINANCE within 15 days of any changes in the circumstances in which the information provided herein is deemed to be incorrect, incomplete, or obsolete;
- I confirm that I am aware of my responsibility for providing incorrect data and in case I do not notify KAURIFINANCE about any changes.
- I agree to KAURIFINANCE OÜ (location: Narva mnt 5, Tallinn 10117, Estonia, registration code in the Estonian commercial register: 14559136) for the transfer by the Company of the Customer's identification data contained in the Customer Identification Form, including name information ( name of the Customer, passport data, place of registration / location, etc., to the regulatory authorities, in order to comply with the requirements of applicable law, including with regard to combating the legalization

**Customer representative**

Name and surname:

Signature:

Date of completion:

**Company representative KAURIFINANCE OÜ**

Name and surname:

Signature:

The information provided in this document is confidential and will not be distributed by KAURIFINANCE OÜ to any third parties, except for persons authorized to access such information in accordance with law.

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**Part of the questionnaire for KAURIFINANCE staff filling**

Checklist of documents and procedures:

- Providing a client identification document (an identity card issued by Estonian authorities, an identity card of a European citizen, an Estonian passport, a passport of a foreign citizen, a diplomatic passport, a seaman's service record, a foreign passport, a sea certificate, a return certificate, a return certificate, a driver's license, issued in the Republic of Estonia, driver's license issued abroad, if the document contains the user's name, photograph or image of persons , Signature, date of birth or personal identification code, a travel document issued abroad);
- Evaluation of the authenticity of document identification on the basis of the following circumstances: the validity of the document and compliance with the "Law on Identity Cards"; external resemblance to the personality and the compatibility of the age shown in the photo and the data contained in the document;
- Copying a document, the client's signature is also saved for confirmation and the date of copying is set;
- Copy of power of attorney, if the client represents a third party;
- Verification of client data and documents from legal sources;
- Checking the client on the list of persons suspected of financing terrorism on the website of the Financial Intelligence Unit: <http://www.politsei.ee/et/organisatsioon/rahapesu/> subsection "International Financial Sanctions";
- Providing the Customer with the Declaration of Origin of Funds.

**The customer identification procedure was carried out by the employee of KAURIFINANCE OÜ**

Name and surname:

Signature:

Date:

**Compliance procedure was conducted by KAURIFINANCE OÜ employee**

Name and surname:

Signature:

Date:

**Correctness of the submitted data was assured by the employee of KAURIFINANCE OÜ**

Name and surname:

Signature:

Date: